

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of :  
Takashi Ohsumi : Group Art Unit: Unknown  
Continuation of Serial No. 09/920,713 : Examiner: Unknown  
Filed: October 22, 2003 :  
For: SEMICONDUCTOR APPARATUS AND METHOD FOR FABRICATING THE  
SAME (To Be Amended)

INFORMATION DISCLOSURE STATEMENT  
(SUBMISSION WITH CONTINUATION-IN-PART OR  
RULE 1.53(b) CONTINUATION OR DIVISIONAL APPLICATION)

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Date: October 22, 2003

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an  
Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the  
Office are listed on PTO-1449 form(s), attached hereto.

II. REFERENCES PREVIOUSLY CITED OR SUBMITTED

Pursuant to 37 C.F.R. § 1.98(d), consideration of information listed on the PTO-  
1449 form(s) is requested since any patents, publications or other information which are  
listed on the PTO-1449 form(s) but for which copies are not enclosed herewith, were  
previously cited by or submitted to the PTO in one of the following applications which  
has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Serial Number

U.S. Filing Date

09/920,713

August 3, 2001

09/580,624

May 30, 2000

08/959,667

October 29, 1997

III. FEES

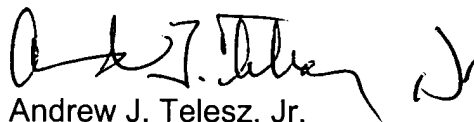
This Information Disclosure Statement is being filed concurrent with the filing of a continuation-in-part, continuation or divisional patent application; therefore, no fee is required.

If the Examiner has any questions concerning this IDS or requires a copy of any of the references cited but not provided, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 50-0238.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 50-0238 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

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Enclosures: PTO-1449

# **INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Docket Number (Optional)

**IIZ.003D2C**

Application Number

**NEW**

Applicant(s)

**Takashi Ohsumi**

Filing Date

**October 22, 2003**

Group Art Unit

**Unknown**

## **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	6,136,624	10/2000	Kemmochi et al.			
	B	5,382,544	01/1995	Matsumoto et al.			
	C	4,654,113	03/1987	Tuchiya et al.			
	D	6,051,489	04/2000	Young et al.			
	E	5,859,472	01/12/99	DiStefano et al.			
	F	5,659,203	08/19/97	Call et al.			
	G	5,790,377	08/04/98	Schreiber et al.			
	H	5,828,128	10/27/98	Higashiguchi et al.			
	I	5,478,778	12/26/95	Tanisawa			
	J	5,989,940	11/23/99	Nakajima			
	K	6,281,111	08/28/01	Ohsumi			

## **FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	L	63-52445	03/05/88	Japan				

## **OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


**EXAMINER**

**DATE CONSIDERED**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	Docket Number (Optional) <b>IIZ.003D2C</b>	Application Number <b>NEW</b>
	Applicant(s) <b>Takashi Ohsumi</b>	
	Filing Date <b>October 22, 2003</b>	Group Art Unit <b>Unknown</b>

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	N	5,864,178	01/26/99	Yamada et al.			
	O	5,534,465	07/09/96	Frye et al.			

FOREIGN PATENT DOCUMENTS								
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation		
						YES	NO	

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>

EXAMINER	DATE CONSIDERED
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